

1 **Green Building Retrofitting in the UAE**2 Mariam Ibrahim<sup>1</sup>, Eilin Rachid<sup>1</sup>, Yousef Awer<sup>1</sup>, and Salwa Beheiry<sup>2</sup>3 <sup>1</sup> Civil Engineering Student, The American University of Sharjah, Sharjah, UAE.4 <sup>2</sup> Associate Professor of Civil Engineering, The American University of Sharjah, Sharjah, UAE.  
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6 **Abstract.** The UAE went through a huge building boom in the last twenty years,  
7 resulting in extensive useful infrastructure, yet not necessarily all was built with  
8 green design parameters in mind. As these buildings reach their retrofitting  
9 milestones, it is an opportunity for owner/developers to retrofit the necessary  
10 components for improved energy efficiency and reduced carbon footprints. Thus,  
11 this paper summarizes the rationale behind the basic elements identified in a  
12 study to develop a green retrofitting toolkit for commercial buildings in the  
13 United Arab Emirates. The study focused on refurbishing the passive systems,  
14 such as the building envelope (wall enclosures and roofs), and the active systems  
15 (lighting and HVAC systems). The methodology that was followed involved  
16 looking at the purpose of retrofitting a building for each criterion and examining  
17 the necessary issues to be considered, as well as providing the optimum solutions  
18 along with a performance matrix for the UAE climate in terms of energy and cost  
19 savings. Moreover, a Revit based model was used on a prototypical commercial  
20 building design to assess the energy efficiency and resultant cost savings. The  
21 study emphasized an all-inclusive retrofitting approach.

22 **Keywords:** Green Buildings, Retrofitting, UAE, Toolkit, Passive and Active  
23 Systems Design.

24 **1 Introduction**

25 Several innovative building technologies have been put into practice to reinforce the  
26 concept of sustainability in modern construction. These practices may include passive  
27 or active designs. Passive designs consist of dealing with the building envelope to help  
28 reduce energy consumption for the building as a whole. On the other hand, active  
29 designs deal with high efficiency ventilation systems that also contribute to energy  
30 savings. Countries such as the UAE can greatly benefit from implementing certain  
31 efficient design techniques since the cooling load is extremely high in the summer given  
32 the harsh summer weather. Buildings that use both techniques will have the most  
33 efficient energy performance.

34 This study focuses on retrofitting an existing building by looking at the passive and  
35 active design techniques together to create a holistic approach to building retrofitting.  
36 The focus of this paper is to tackle 3 main components of a building that are the building  
37 envelope components, lighting systems, and HVAC (heating, ventilating and air-  
38 conditioning) to save energy. This can be done by implementing the best techniques to

39 retrofit existing buildings in the UAE. It is essential to optimize the practical  
40 performance of each technique to obtain promising results, in which cost plays a role.  
41 Therefore, any client that uses this toolkit will vary his/her decisions based on the  
42 amount of money he/she is willing to pay, as well as examining the status of the energy  
43 performance of the building.

## 44 **1.1 Background**

45 The preliminary idea behind this project is to discover the possibility of revamping  
46 existing buildings, making them sustainable using passive and active design techniques.  
47 More focus will be placed on implementing this strategy in the UAE, where the climate  
48 is viewed as hot and humid. During the summer season, cooling systems are extensively  
49 used in buildings. Therefore, using efficient retrofitting techniques is a promising  
50 strategy to increase energy savings. The more general perspective of the project is to  
51 enforce energy-efficient strategies by enhancing air tightness measures, as well as the  
52 lighting and HVAC systems. This is because energy efficiency is the main component  
53 of green buildings. In practice, energy efficiency can be implemented using either  
54 active or passive systems. Active systems include improvements on HVAC and  
55 lighting, while passive systems tackle the building envelope components. It is the focus  
56 of this project to explore the considerations the main design techniques, and which  
57 types will be the most efficient in the UAE climate to allow for more energy-saving  
58 building systems. At the end of the project, our deliverables are to produce a toolkit that  
59 measures the performance of a retrofitted building. Furthermore, a physical model and  
60 a Revit model will be done to represent a retrofitted building.

## 61 **1.2 Literature Review**

### 62 **1.2.1 Passive Buildings**

63 With the rising level of energy consumption due to rapid industrialization and  
64 population growth, more fossil fuels are burned which essentially causes global  
65 warming. In a hot and humid climate such as the UAE, cooling is the major contributor  
66 in energy consumption specifically during summer peaks. It is mentioned that 75% of  
67 the electricity consumption in summer months in Abu-Dhabi is due to air conditioning  
68 [1]. For such high levels of energy consumption during harsh climate conditions, it is  
69 important to incorporate passive techniques that ensure the use of an appropriate  
70 building envelope and insulation measures. Since the weather plays a significant role  
71 in the performance of the building, accommodating for the humidity factor in the UAE  
72 is necessary for building design. Regarding the adverse effect of humidity on the  
73 building envelope, it is argued that the higher the humidity, the more is the decline of  
74 insulation benefits from the wall systems due to air infiltration [2]. In turn, this might  
75 affect the durability, which means that the building will not have a longer service life  
76 that will lead to costly maintenance results.

77 Green buildings, also called passive or low energy buildings, have less negative  
78 impact on the environment than traditional buildings due to natural lighting and  
79 aesthetic views when combined with green roofs. It is mentioned that green roofs with  
80 drought tolerant grasses, especially useful in the UAE, can a decrease in temperature  
81 by 15 degrees [3]. A green roof also helps in keeping the air clean and improving storm  
82 water management. In addition, using windows with low emission glazing helps in  
83 reducing the interior heat gain, lowering the need for high cooling loads.

84 The building envelope consists of the building components that surround the indoor  
85 spaces, while interacting with the outside environment [4]. This includes windows,  
86 doors, roofs, walls, cladding and façade systems. The main issue with building  
87 envelopes is their tendency to infiltrate air, increasing the heat gain, which may result  
88 in unfavorable indoor environments. The solution to this would be to focus on  
89 enhancing the air-tightness of the building by retrofitting its envelope using cost-  
90 effective measures. Another solution that is widely discussed regarding building energy  
91 efficiency in hot climates is the double facade [5].

92 The use of a double facade is justified to elevate the heating and cooling loads, as  
93 well as climate conditions found in the UAE such as humidity. In the UAE, solar  
94 avoidance would be the primary reason behind adding a double facade to escape the  
95 harsh summer sun. The best way to retrofit windows tailored for hot climates is to apply  
96 double glazed windows with low-e coatings [6]. In fact, a special coating will actually  
97 reduce the size of the air-conditioners needed and thereby reducing the cooling load of  
98 the building. In addition, a few added benefits come with better-insulated windows such  
99 as the reduced sound transfer and increased comfort of the occupants [6].

## 100 **1.2.2 Active Buildings**

101 Retrofitting active systems in a building involves elements such as lighting and HVAC.  
102 All building systems interact in a way that any improvements to a single system  
103 indirectly enhance the performance of the other. For example, the performance of the  
104 lighting system in a building is affected by the HVAC choices made [7]. Specifically,  
105 it was shown that lighting systems retrofits that save one watt in the lighting power  
106 would save about a third of a watt in the cooling load. Typically, lighting retrofits have  
107 a payback period of about 2 years [8]. LED lamps are shown to be more energy efficient  
108 when compared to fluorescent lamps. Therefore, considering a lighting retrofit that  
109 incorporates LED lamps would be important on a whole building scale. Besides  
110 changing the type of lamps to more efficient ones, the usage of a lighting control system  
111 is also an efficient method to upgrade a lighting system [8]. The main drawback of  
112 using control systems is the difficulty of estimating an exact payback period since it  
113 would mainly depend on the usage of the system by the occupants. Adding an  
114 automated occupancy-based control system which can increase energy savings by 20-  
115 93% [8]. Day lighting systems can also be used in a way that automatically switches  
116 off whenever daylight is sensed. According to the UAE Energy Efficiency Standard,  
117 20% of the electricity is consumed by households globally for lighting purposes [9].  
118 Also, there is a potential for using high energy efficient lamps such as Compact  
119 Fluorescent Lamps (CFLs), Light Emitting Diodes (LEDs) and Halogen bulbs [9]. The

120 UAE can save up to 668 million/year from overall saved electricity bills from  
121 households. For atypical medium-sized villa in Dubai, lighting retrofits that are more  
122 efficient can save up to AED 2,315/year.

123 In office buildings, lighting consumes about 20-45% of the total electrical demand.  
124 It is assured that using lighting control systems can reduce energy consumption up to  
125 15% [10]. Similar to the positive effects of well-insulated windows, buildings with  
126 lighting systems that are appropriately designed to integrate enough daylight are shown  
127 to lead to increased occupants comfort and reduced headaches resulting from overly  
128 installed artificial lighting. When dealing with a harsh UAE summers, it is of great  
129 significance to focus on the most energy-intensive systems that are more relevant than  
130 others. Primarily, HVAC systems require the most energy in commercial buildings. As  
131 an example, researchers have explained that the use of Variable Air Volume (VAV)  
132 systems can lead to energy savings when compared to unitary systems [11]. Different  
133 studies were dedicated to explaining energy savings methods of an HVAC system. For  
134 example, air conditioners, central air conditioners, chillers and ducts can be more  
135 energy efficient when certain methodologies are followed. These will be elaborated on  
136 in more detail later in this report.

### 137 **1.3 Statement of the Problem**

138 Energy consumption should be considered when talking about the economy and the  
139 environment since it is an important feature. The energy consumption in the UAE has  
140 increased by huge amounts because of several factors, such as the rapid growth in the  
141 population and industrialization. Energy in the UAE is obtained from burning fossil  
142 fuels and this is known to be a high source of emitting the greenhouse gas carbon  
143 dioxide (CO<sub>2</sub>) [12]. These emissions have negative impact on the environment, human  
144 beings and animals; hence, they tend to speed up the climate change and global  
145 warming process, giving an unsuitable place for humans and animals to live in due to  
146 the health problems attributed with the emissions. The projected data for the UAE's  
147 CO<sub>2</sub> emissions from 2011 to 2050 has showed that it will increase to a high number  
148 from 188.77 Mtonnes to 390.05 Mtonnes [12].

149 However, this is a far-reaching problem that should be treated differently, and  
150 various solutions are available. In an attempt to decrease the carbon footprint, dealing  
151 with the building envelope by improving its insulation acts as a passive retrofitting  
152 technique. Furthermore, the problem can also be tackled by the concept of lighting and  
153 HVAC system retrofits as an active design technique. These elements can contribute in  
154 reducing the energy consumption of thermal heating and cooling loads during summer  
155 peak time, when the energy consumed on the usage of HVAC systems is the highest.

### 156 **1.4 Significance of the Project**

157 With regards to energy consumption, the UAE requires additional innovative design  
158 ideas for building systems that account for the general concept of sustainability.  
159 Currently, there exist ideas that have already been implemented in the UAE such as  
160 geothermal energy and solar energy used for building heating and cooling systems e.g.

161 Masdar City. More focus is placed on cooling systems since the country undergoes  
162 relatively violent summers. With the increasing amount of building construction, there  
163 is a growing need to increase energy savings as much as possible. The focus of this  
164 project is to bring the combination of active and passive design techniques idea forward  
165 to get a high-performance retrofitted building that is cost effective. It will benefit the  
166 engineering industry by providing an optimum solution that is tailored to the weather  
167 and existing building systems in the UAE. The aim is to offer an efficient solution that  
168 can be put into action in the hope of revamping existing buildings, making the building  
169 sustainable in nature. This project idea is unique since there is no combination of  
170 techniques that is specifically made to suit the climate of the UAE, which will have a  
171 strong impact in the building engineering industry. The objective of this study was to  
172 propose a green toolkit to retrofit existing buildings in the UAE for better energy  
173 efficiency.

## 174 **2 Methodology and Approach**

175 The methodology hinged on an extensive literature and products review for both  
176 passive and active buildings design criteria. In addition, certain building components  
177 were identified and analyzed to propose the standardized toolkit and assess the  
178 performance of the suggested retrofits based on cost and energy saving for these UAE  
179 based buildings. The suitability of the proposed retrofits for the UAE climate is also  
180 assessed. The following section summarizes the key elements included in the proposed  
181 toolkit with solutions.

## 182 **3 The Basic Elements for a Green Retrofitting Toolkit**

### 183 **3.1 Building Envelope Air Tightness**

184 The primary focus of improving the air-tightness of a building is to reduce heat gain  
185 through better insulation, namely preventing the entrance of hot air, dust, and moisture.  
186 Since these are specific problems in the UAE, it is vital that building envelope  
187 components should be retrofitted to provide better insulation, and hence elevated air-  
188 tightness measures. The building envelope consists of the parts of the building that are  
189 directly subjected to the outdoor environment, including windows, doors, roofs, walls,  
190 foundations etc. Windows and doors are very important components through which  
191 high-energy savings can be incurred if retrofitted appropriately.

192 At this point, it is essential to define the term 'fenestration'. Fenestration means the  
193 arrangement, in terms of design and position, of structural openings in a building [4].  
194 Fenestration affects building energy use in several ways including conductive heat  
195 transfer, solar heat gain, air leakage, and day lighting. Since day lighting does not fall  
196 under the aspect of air-tightness, it will be dedicated a whole section later in this report  
197 due to its significant energy contributions. Solar heat gain is the radiant solar heat that  
198 penetrates the building, usually through windows, that contributes to increased heat  
199 loads. Solar heat gain is defined by the Solar Heat Gain Coefficient (SHGC) meaning

200 “the fraction of solar energy that enters the window and becomes heat” [4]. Air leakage  
201 is the uncontrolled airflow throughout the building envelope. Any building envelope  
202 retrofit option that would minimize any of the above-mentioned energy mechanisms is  
203 considered worthwhile and efficient. Namely, adopting glazing systems that would  
204 reduce conductive heat transfer and solar heat gain would entail reduced cooling loads.  
205 Furthermore, components that prevent air infiltration through any possible openings are  
206 preferred.

### 207 **3.2 Double-skin Façades**

208 A double skin façade is one that includes constructing a second layer of façade over the  
209 existing one that is usually transparent [13]. It has been supported by several researchers  
210 that adopting a double-skin façade in a hot humid climate like the UAE is very energy  
211 efficient since it significantly reduces the solar heat gain parameter, while also keeping  
212 dust and humidity outside the building [3, 14]. This promotes the concept of enhanced  
213 air-tightness measures throughout the building envelope. However, the payback period  
214 is quite long due to its extensive planning and design making it an option that is more  
215 on the expensive side of the spectrum.

### 216 **3.3 Green Roofs**

217 Green roofs technology is on the rise all over the world for its great benefits to people  
218 and environment. Green roofs have been done widely in Europe for more than a decade,  
219 and now 20 percent of roofs on medium and large buildings in Tokyo are required to  
220 be green roofs [15]. Green roofs are vegetated roofs that have vegetation layer with  
221 waterproofing and sometimes drainage layer, which is considered to be optional. The  
222 five different layers of green roofs include a root barrier layer to prevent the root from  
223 penetrating the building; a protection mat layer to increase the safety from any  
224 penetrations; a drainage layer that allows heavy rain to runoff; a filter sheet to stop  
225 particles and dust from clogging the drainage layer; the last layer is the growing  
226 medium which can be soil or an engineered material that provide the necessary food  
227 for plants to grow [15]. Green roofs can be implemented by retrofitting or in new  
228 construction. Mainly, there are two types of green roofs: extensive and intensive. They  
229 differ in the layers and the lengths of the layers in addition to the maintenance. Intensive  
230 roofs allow for deeper substrates, while extensive roofs allow for thinner substrates  
231 [16]. Cutlip (2006) suggests that the use of extensive green roofs are more preferable  
232 because they do not require large-scale maintenance, unlike intensive green roofs, and  
233 they are thinner than intensive green roofs [17]. Extensive green roofs are known as  
234 “eco-roofs” and minimal weight load; whereas, the intensive green roofs have increased  
235 load/system weight [17].

### 236 **3.4 Lighting Systems**

237 It is important to look at the lighting system in a building because it can be considered  
238 a good contributor in saving energy. The electric lighting represents 15-60% of

239 electricity consumption in buildings [8]. Therefore, it is significant to retrofit the  
240 lighting system in the UAE since it can make a significant difference. Two aspects must  
241 be considered, efficiency and effectiveness. Efficiency looks at the performance of the  
242 equipment (lamps, control gears, etc.) and the improvement of the lighting design  
243 practice; while effectiveness looks at the lighting control systems and ways to improve  
244 it in order to avoid energy waste, and hence save energy [18]. The lighting system  
245 consists of three categories: the luminaires, the control system and the services. It is not  
246 necessary that retrofitting the lighting system would reduce energy only, it has several  
247 other advantages such as: improvement in lighting quality, occupant satisfaction and  
248 productivity, improved corporate image, energy security, etc. [8]. In order to retrofit a  
249 lighting system of a tall building it is important to select the most suitable light bulbs,  
250 control systems and services. The most common retrofitting strategy that has a high  
251 saving potential is the replacement of lamp, ballast and luminaire as well as the usage  
252 of an electric lighting control system that is known to reduce the energy consumption  
253 [8]. For the replacement of lamps, 3 standard lamps (Compact Fluorescent Lamps  
254 (CFLs), Light Emitting Diodes (LEDs) and Halogens) that are used in the UAE will be  
255 discussed later and compared to incandescent lamps [9]. As for the control systems, 4  
256 main systems will be discussed including the manual control, scheduling, occupancy  
257 control, and day lighting.

### 258 **3.5 HVAC Systems**

259 The occupants' comfort in the surrounding environment of any building is of major  
260 importance which makes designing the most appropriate Heating Ventilation and Air  
261 Conditioning (HVAC) system crucial in providing the optimum temperature required  
262 by the occupant. However, the HVAC system is known to be one of the largest end-  
263 users of energy especially in hot harsh climates. Only in case the system is properly  
264 selected and designed, it can save energy up to 30% [11]. With proper operation of  
265 HVAC system, it is compelling to reach the highest energy consumption of the building  
266 [11]. The system tends to add and remove heat to maintain a certain temperature, as  
267 well as adds and removes air by keeping same temperature and aims at the improvement  
268 of the indoor air quality and thermal comfort [19]. The main concern in dealing with  
269 HVAC is occupants comfort which, according to an air conditioning clinic (Trane), can  
270 be categorized into temperature, humidity, air movement, fresh air, clean air, noise  
271 levels, lighting and furniture and work surface [20]. HVAC has 3 functional categories:  
272 the source component that is responsible in providing and removing heat/moisture, the  
273 distribution component that distributes the air to locations where conditioning is  
274 required and the delivery component that combines distribution system and occupied  
275 space [19].

## 276 **4 Conclusions**

277 This paper summarized the approach and the main elements that were identified in a  
278 study to develop a green retrofitting toolkit for commercial buildings in the UAE. In

279 addition to a thorough review of the relevant literature and available products, the study,  
 280 highlighted in this paper, also used a Revit model to analyze a typical commercial  
 281 building design in terms of energy efficiency and cost savings. The project focused on  
 282 commercial buildings since they typically registered the highest energy consumption  
 283 spikes as mentioned in a report of the American Council for an Energy Efficient  
 284 Economy [21]. Making buildings more energy efficient is particularly relevant in harsh  
 285 hot climates. Ultimately, the study identified the most UAE relevant measures and  
 286 guidelines, and performance metrics were developed. Moreover, energy throughputs  
 287 were modeled and cost savings analyzed. Future work can target more building types  
 288 and explore different retrofiting elements and their feasibility.

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