

1 **Green Building Retrofitting in the UAE**2 Mariam Ibrahim¹, Eilin Rachid¹, Yousef Awer¹, and Salwa Beheiry²3 ¹ Civil Engineering Student, The American University of Sharjah, Sharjah, UAE.4 ² Associate Professor of Civil Engineering, The American University of Sharjah, Sharjah, UAE.
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6 **Abstract.** The UAE went through a huge building boom in the last twenty years,
7 resulting in extensive useful infrastructure, yet not necessarily all was built with
8 green design parameters in mind. As these buildings reach their retrofitting
9 milestones, it is an opportunity for owner/developers to retrofit the necessary
10 components for improved energy efficiency and reduced carbon footprints. Thus,
11 this paper summarizes the rationale behind the basic elements identified in a
12 study to develop a green retrofitting toolkit for commercial buildings in the
13 United Arab Emirates. The study focused on refurbishing the passive systems,
14 such as the building envelope (wall enclosures and roofs), and the active systems
15 (lighting and HVAC systems). The methodology that was followed involved
16 looking at the purpose of retrofitting a building for each criterion and examining
17 the necessary issues to be considered, as well as providing the optimum solutions
18 along with a performance matrix for the UAE climate in terms of energy and cost
19 savings. Moreover, a Revit based model was used on a prototypical commercial
20 building design to assess the energy efficiency and resultant cost savings. The
21 study emphasized an all-inclusive retrofitting approach.

22 **Keywords:** Green Buildings, Retrofitting, UAE, Toolkit, Passive and Active
23 Systems Design.

24 **1 Introduction**

25 Several innovative building technologies have been put into practice to reinforce the
26 concept of sustainability in modern construction. These practices may include passive
27 or active designs. Passive designs consist of dealing with the building envelope to help
28 reduce energy consumption for the building as a whole. On the other hand, active
29 designs deal with high efficiency ventilation systems that also contribute to energy
30 savings. Countries such as the UAE can greatly benefit from implementing certain
31 efficient design techniques since the cooling load is extremely high in the summer given
32 the harsh summer weather. Buildings that use both techniques will have the most
33 efficient energy performance.

34 This study focuses on retrofitting an existing building by looking at the passive and
35 active design techniques together to create a holistic approach to building retrofitting.
36 The focus of this paper is to tackle 3 main components of a building that are the building
37 envelope components, lighting systems, and HVAC (heating, ventilating and air-
38 conditioning) to save energy. This can be done by implementing the best techniques to

39 retrofit existing buildings in the UAE. It is essential to optimize the practical
40 performance of each technique to obtain promising results, in which cost plays a role.
41 Therefore, any client that uses this toolkit will vary his/her decisions based on the
42 amount of money he/she is willing to pay, as well as examining the status of the energy
43 performance of the building.

44 **1.1 Background**

45 The preliminary idea behind this project is to discover the possibility of revamping
46 existing buildings, making them sustainable using passive and active design techniques.
47 More focus will be placed on implementing this strategy in the UAE, where the climate
48 is viewed as hot and humid. During the summer season, cooling systems are extensively
49 used in buildings. Therefore, using efficient retrofitting techniques is a promising
50 strategy to increase energy savings. The more general perspective of the project is to
51 enforce energy-efficient strategies by enhancing air tightness measures, as well as the
52 lighting and HVAC systems. This is because energy efficiency is the main component
53 of green buildings. In practice, energy efficiency can be implemented using either
54 active or passive systems. Active systems include improvements on HVAC and
55 lighting, while passive systems tackle the building envelope components. It is the focus
56 of this project to explore the considerations the main design techniques, and which
57 types will be the most efficient in the UAE climate to allow for more energy-saving
58 building systems. At the end of the project, our deliverables are to produce a toolkit that
59 measures the performance of a retrofitted building. Furthermore, a physical model and
60 a Revit model will be done to represent a retrofitted building.

61 **1.2 Literature Review**

62 **1.2.1 Passive Buildings**

63 With the rising level of energy consumption due to rapid industrialization and
64 population growth, more fossil fuels are burned which essentially causes global
65 warming. In a hot and humid climate such as the UAE, cooling is the major contributor
66 in energy consumption specifically during summer peaks. It is mentioned that 75% of
67 the electricity consumption in summer months in Abu-Dhabi is due to air conditioning
68 [1]. For such high levels of energy consumption during harsh climate conditions, it is
69 important to incorporate passive techniques that ensure the use of an appropriate
70 building envelope and insulation measures. Since the weather plays a significant role
71 in the performance of the building, accommodating for the humidity factor in the UAE
72 is necessary for building design. Regarding the adverse effect of humidity on the
73 building envelope, it is argued that the higher the humidity, the more is the decline of
74 insulation benefits from the wall systems due to air infiltration [2]. In turn, this might
75 affect the durability, which means that the building will not have a longer service life
76 that will lead to costly maintenance results.

77 Green buildings, also called passive or low energy buildings, have less negative
78 impact on the environment than traditional buildings due to natural lighting and
79 aesthetic views when combined with green roofs. It is mentioned that green roofs with
80 drought tolerant grasses, especially useful in the UAE, can a decrease in temperature
81 by 15 degrees [3]. A green roof also helps in keeping the air clean and improving storm
82 water management. In addition, using windows with low emission glazing helps in
83 reducing the interior heat gain, lowering the need for high cooling loads.

84 The building envelope consists of the building components that surround the indoor
85 spaces, while interacting with the outside environment [4]. This includes windows,
86 doors, roofs, walls, cladding and façade systems. The main issue with building
87 envelopes is their tendency to infiltrate air, increasing the heat gain, which may result
88 in unfavorable indoor environments. The solution to this would be to focus on
89 enhancing the air-tightness of the building by retrofitting its envelope using cost-
90 effective measures. Another solution that is widely discussed regarding building energy
91 efficiency in hot climates is the double facade [5].

92 The use of a double facade is justified to elevate the heating and cooling loads, as
93 well as climate conditions found in the UAE such as humidity. In the UAE, solar
94 avoidance would be the primary reason behind adding a double facade to escape the
95 harsh summer sun. The best way to retrofit windows tailored for hot climates is to apply
96 double glazed windows with low-e coatings [6]. In fact, a special coating will actually
97 reduce the size of the air-conditioners needed and thereby reducing the cooling load of
98 the building. In addition, a few added benefits come with better-insulated windows such
99 as the reduced sound transfer and increased comfort of the occupants [6].

100 **1.2.2 Active Buildings**

101 Retrofitting active systems in a building involves elements such as lighting and HVAC.
102 All building systems interact in a way that any improvements to a single system
103 indirectly enhance the performance of the other. For example, the performance of the
104 lighting system in a building is affected by the HVAC choices made [7]. Specifically,
105 it was shown that lighting systems retrofits that save one watt in the lighting power
106 would save about a third of a watt in the cooling load. Typically, lighting retrofits have
107 a payback period of about 2 years [8]. LED lamps are shown to be more energy efficient
108 when compared to fluorescent lamps. Therefore, considering a lighting retrofit that
109 incorporates LED lamps would be important on a whole building scale. Besides
110 changing the type of lamps to more efficient ones, the usage of a lighting control system
111 is also an efficient method to upgrade a lighting system [8]. The main drawback of
112 using control systems is the difficulty of estimating an exact payback period since it
113 would mainly depend on the usage of the system by the occupants. Adding an
114 automated occupancy-based control system which can increase energy savings by 20-
115 93% [8]. Day lighting systems can also be used in a way that automatically switches
116 off whenever daylight is sensed. According to the UAE Energy Efficiency Standard,
117 20% of the electricity is consumed by households globally for lighting purposes [9].
118 Also, there is a potential for using high energy efficient lamps such as Compact
119 Fluorescent Lamps (CFLs), Light Emitting Diodes (LEDs) and Halogen bulbs [9]. The

120 UAE can save up to 668 million/year from overall saved electricity bills from
121 households. For atypical medium-sized villa in Dubai, lighting retrofits that are more
122 efficient can save up to AED 2,315/year.

123 In office buildings, lighting consumes about 20-45% of the total electrical demand.
124 It is assured that using lighting control systems can reduce energy consumption up to
125 15% [10]. Similar to the positive effects of well-insulated windows, buildings with
126 lighting systems that are appropriately designed to integrate enough daylight are shown
127 to lead to increased occupants comfort and reduced headaches resulting from overly
128 installed artificial lighting. When dealing with a harsh UAE summers, it is of great
129 significance to focus on the most energy-intensive systems that are more relevant than
130 others. Primarily, HVAC systems require the most energy in commercial buildings. As
131 an example, researchers have explained that the use of Variable Air Volume (VAV)
132 systems can lead to energy savings when compared to unitary systems [11]. Different
133 studies were dedicated to explaining energy savings methods of an HVAC system. For
134 example, air conditioners, central air conditioners, chillers and ducts can be more
135 energy efficient when certain methodologies are followed. These will be elaborated on
136 in more detail later in this report.

137 **1.3 Statement of the Problem**

138 Energy consumption should be considered when talking about the economy and the
139 environment since it is an important feature. The energy consumption in the UAE has
140 increased by huge amounts because of several factors, such as the rapid growth in the
141 population and industrialization. Energy in the UAE is obtained from burning fossil
142 fuels and this is known to be a high source of emitting the greenhouse gas carbon
143 dioxide (CO₂) [12]. These emissions have negative impact on the environment, human
144 beings and animals; hence, they tend to speed up the climate change and global
145 warming process, giving an unsuitable place for humans and animals to live in due to
146 the health problems attributed with the emissions. The projected data for the UAE's
147 CO₂ emissions from 2011 to 2050 has showed that it will increase to a high number
148 from 188.77 Mtonnes to 390.05 Mtonnes [12].

149 However, this is a far-reaching problem that should be treated differently, and
150 various solutions are available. In an attempt to decrease the carbon footprint, dealing
151 with the building envelope by improving its insulation acts as a passive retrofitting
152 technique. Furthermore, the problem can also be tackled by the concept of lighting and
153 HVAC system retrofits as an active design technique. These elements can contribute in
154 reducing the energy consumption of thermal heating and cooling loads during summer
155 peak time, when the energy consumed on the usage of HVAC systems is the highest.

156 **1.4 Significance of the Project**

157 With regards to energy consumption, the UAE requires additional innovative design
158 ideas for building systems that account for the general concept of sustainability.
159 Currently, there exist ideas that have already been implemented in the UAE such as
160 geothermal energy and solar energy used for building heating and cooling systems e.g.

161 Masdar City. More focus is placed on cooling systems since the country undergoes
162 relatively violent summers. With the increasing amount of building construction, there
163 is a growing need to increase energy savings as much as possible. The focus of this
164 project is to bring the combination of active and passive design techniques idea forward
165 to get a high-performance retrofitted building that is cost effective. It will benefit the
166 engineering industry by providing an optimum solution that is tailored to the weather
167 and existing building systems in the UAE. The aim is to offer an efficient solution that
168 can be put into action in the hope of revamping existing buildings, making the building
169 sustainable in nature. This project idea is unique since there is no combination of
170 techniques that is specifically made to suit the climate of the UAE, which will have a
171 strong impact in the building engineering industry. The objective of this study was to
172 propose a green toolkit to retrofit existing buildings in the UAE for better energy
173 efficiency.

174 **2 Methodology and Approach**

175 The methodology hinged on an extensive literature and products review for both
176 passive and active buildings design criteria. In addition, certain building components
177 were identified and analyzed to propose the standardized toolkit and assess the
178 performance of the suggested retrofits based on cost and energy saving for these UAE
179 based buildings. The suitability of the proposed retrofits for the UAE climate is also
180 assessed. The following section summarizes the key elements included in the proposed
181 toolkit with solutions.

182 **3 The Basic Elements for a Green Retrofitting Toolkit**

183 **3.1 Building Envelope Air Tightness**

184 The primary focus of improving the air-tightness of a building is to reduce heat gain
185 through better insulation, namely preventing the entrance of hot air, dust, and moisture.
186 Since these are specific problems in the UAE, it is vital that building envelope
187 components should be retrofitted to provide better insulation, and hence elevated air-
188 tightness measures. The building envelope consists of the parts of the building that are
189 directly subjected to the outdoor environment, including windows, doors, roofs, walls,
190 foundations etc. Windows and doors are very important components through which
191 high-energy savings can be incurred if retrofitted appropriately.

192 At this point, it is essential to define the term 'fenestration'. Fenestration means the
193 arrangement, in terms of design and position, of structural openings in a building [4].
194 Fenestration affects building energy use in several ways including conductive heat
195 transfer, solar heat gain, air leakage, and day lighting. Since day lighting does not fall
196 under the aspect of air-tightness, it will be dedicated a whole section later in this report
197 due to its significant energy contributions. Solar heat gain is the radiant solar heat that
198 penetrates the building, usually through windows, that contributes to increased heat
199 loads. Solar heat gain is defined by the Solar Heat Gain Coefficient (SHGC) meaning

200 “the fraction of solar energy that enters the window and becomes heat” [4]. Air leakage
201 is the uncontrolled airflow throughout the building envelope. Any building envelope
202 retrofit option that would minimize any of the above-mentioned energy mechanisms is
203 considered worthwhile and efficient. Namely, adopting glazing systems that would
204 reduce conductive heat transfer and solar heat gain would entail reduced cooling loads.
205 Furthermore, components that prevent air infiltration through any possible openings are
206 preferred.

207 **3.2 Double-skin Façades**

208 A double skin façade is one that includes constructing a second layer of façade over the
209 existing one that is usually transparent [13]. It has been supported by several researchers
210 that adopting a double-skin façade in a hot humid climate like the UAE is very energy
211 efficient since it significantly reduces the solar heat gain parameter, while also keeping
212 dust and humidity outside the building [3, 14]. This promotes the concept of enhanced
213 air-tightness measures throughout the building envelope. However, the payback period
214 is quite long due to its extensive planning and design making it an option that is more
215 on the expensive side of the spectrum.

216 **3.3 Green Roofs**

217 Green roofs technology is on the rise all over the world for its great benefits to people
218 and environment. Green roofs have been done widely in Europe for more than a decade,
219 and now 20 percent of roofs on medium and large buildings in Tokyo are required to
220 be green roofs [15]. Green roofs are vegetated roofs that have vegetation layer with
221 waterproofing and sometimes drainage layer, which is considered to be optional. The
222 five different layers of green roofs include a root barrier layer to prevent the root from
223 penetrating the building; a protection mat layer to increase the safety from any
224 penetrations; a drainage layer that allows heavy rain to runoff; a filter sheet to stop
225 particles and dust from clogging the drainage layer; the last layer is the growing
226 medium which can be soil or an engineered material that provide the necessary food
227 for plants to grow [15]. Green roofs can be implemented by retrofitting or in new
228 construction. Mainly, there are two types of green roofs: extensive and intensive. They
229 differ in the layers and the lengths of the layers in addition to the maintenance. Intensive
230 roofs allow for deeper substrates, while extensive roofs allow for thinner substrates
231 [16]. Cutlip (2006) suggests that the use of extensive green roofs are more preferable
232 because they do not require large-scale maintenance, unlike intensive green roofs, and
233 they are thinner than intensive green roofs [17]. Extensive green roofs are known as
234 “eco-roofs” and minimal weight load; whereas, the intensive green roofs have increased
235 load/system weight [17].

236 **3.4 Lighting Systems**

237 It is important to look at the lighting system in a building because it can be considered
238 a good contributor in saving energy. The electric lighting represents 15-60% of

239 electricity consumption in buildings [8]. Therefore, it is significant to retrofit the
240 lighting system in the UAE since it can make a significant difference. Two aspects must
241 be considered, efficiency and effectiveness. Efficiency looks at the performance of the
242 equipment (lamps, control gears, etc.) and the improvement of the lighting design
243 practice; while effectiveness looks at the lighting control systems and ways to improve
244 it in order to avoid energy waste, and hence save energy [18]. The lighting system
245 consists of three categories: the luminaires, the control system and the services. It is not
246 necessary that retrofitting the lighting system would reduce energy only, it has several
247 other advantages such as: improvement in lighting quality, occupant satisfaction and
248 productivity, improved corporate image, energy security, etc. [8]. In order to retrofit a
249 lighting system of a tall building it is important to select the most suitable light bulbs,
250 control systems and services. The most common retrofitting strategy that has a high
251 saving potential is the replacement of lamp, ballast and luminaire as well as the usage
252 of an electric lighting control system that is known to reduce the energy consumption
253 [8]. For the replacement of lamps, 3 standard lamps (Compact Fluorescent Lamps
254 (CFLs), Light Emitting Diodes (LEDs) and Halogens) that are used in the UAE will be
255 discussed later and compared to incandescent lamps [9]. As for the control systems, 4
256 main systems will be discussed including the manual control, scheduling, occupancy
257 control, and day lighting.

258 **3.5 HVAC Systems**

259 The occupants' comfort in the surrounding environment of any building is of major
260 importance which makes designing the most appropriate Heating Ventilation and Air
261 Conditioning (HVAC) system crucial in providing the optimum temperature required
262 by the occupant. However, the HVAC system is known to be one of the largest end-
263 users of energy especially in hot harsh climates. Only in case the system is properly
264 selected and designed, it can save energy up to 30% [11]. With proper operation of
265 HVAC system, it is compelling to reach the highest energy consumption of the building
266 [11]. The system tends to add and remove heat to maintain a certain temperature, as
267 well as adds and removes air by keeping same temperature and aims at the improvement
268 of the indoor air quality and thermal comfort [19]. The main concern in dealing with
269 HVAC is occupants comfort which, according to an air conditioning clinic (Trane), can
270 be categorized into temperature, humidity, air movement, fresh air, clean air, noise
271 levels, lighting and furniture and work surface [20]. HVAC has 3 functional categories:
272 the source component that is responsible in providing and removing heat/moisture, the
273 distribution component that distributes the air to locations where conditioning is
274 required and the delivery component that combines distribution system and occupied
275 space [19].

276 **4 Conclusions**

277 This paper summarized the approach and the main elements that were identified in a
278 study to develop a green retrofitting toolkit for commercial buildings in the UAE. In

279 addition to a thorough review of the relevant literature and available products, the study,
 280 highlighted in this paper, also used a Revit model to analyze a typical commercial
 281 building design in terms of energy efficiency and cost savings. The project focused on
 282 commercial buildings since they typically registered the highest energy consumption
 283 spikes as mentioned in a report of the American Council for an Energy Efficient
 284 Economy [21]. Making buildings more energy efficient is particularly relevant in harsh
 285 hot climates. Ultimately, the study identified the most UAE relevant measures and
 286 guidelines, and performance metrics were developed. Moreover, energy throughputs
 287 were modeled and cost savings analyzed. Future work can target more building types
 288 and explore different retrofitting elements and their feasibility.

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